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### FEC FORM 3X

# REPORT OF RECEIPTS AND DISBURSEMENTS

For Other Than An Authorized Committee

	For Other Than An Autr	norized Committee	Office Use Only
NAME OF COMMITTEE (in full)	TYPE OR PRINT ▼	Example: If typing, type over the lines.	12FE4M5
Securities Industry and	d Financial Markets A	ssociation Political Act	ion Committee
ADDRESS (number and street)	1101 New York Avenue, NW	<i>j</i> 	
▼ Check if different	8th Floor		
than previously reported. (ACC)	Washington		DC 20005 -
2. FEC IDENTIFICATION N	UMBER ▼ CIT	YA	STATE ▲ ZIP CODE ▲
C C00431312		S THIS NEW (N) OF	AMENDED (A)
4. TYPE OF REPORT (Choose One)	Report Due On:	20 (M2) May 20 (M 20 (M3) Jun 20 (M6	(Non-Election Year Only)
(a) Quarterly Reports:			(Non-Election Year Only)
April 15 Quarterly Report (		20 (M4) Jul 20 (M7	Oct 20 (M10) Jan 31 (YE)
July 15 Quarterly Report (	O2) PRE-Election	Primary (12P)	General (12G) Runoff (12R)
October 15 Quarterly Report (0	Report for the:	Convention (12C)	Special (12S)
January 31 Year-End Report (\	YE) Election	n on	in the State of
July 31 Mid-Year Report (Non-election Year Only) (MY)	POST-Election Report for the:	General (30G)	Runoff (30R) Special (30S)
Termination Report (TER)	t Election	n on	in the State of
5. Covering Period 0		through 09	M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
I certify that I have examined the	Bentsen, Kenneth, E., Mr., J	my knowledge and belief it is	true, correct and complete.
Type or Print Name of Treasure	er		
Signature of Treasurer	tsen, Kenneth, E., Mr., Jr.	[Electronically Filed]	Date 10 / 20 / 2016
NOTE: Submission of false, error	neous, or incomplete information	n may subject the person signing	this Report to the penalties of 52 U.S.C. § 30109
Office Use			FEC FORM 3X Rev. 05/2016

## SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 05/2016) Page 2

Write or Type Committee Name

#### Securities Industry and Financial Markets Association Political Action Committee

09 01 2016 09 30 2016 Report Covering the Period: From: To: **COLUMN A COLUMN B** This Period Calendar Year-to-Date (a) Cash on Hand 95134.17 January 1, 2016 (b) Cash on Hand at 114595.77 Beginning of Reporting Period..... 46219.35 333318.38 Total Receipts (from Line 19) ..... (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 428452.55 160815.12 6(a) and 6(c) for Column B)..... 65415.13 333052.56 7. Total Disbursements (from Line 31)...... Cash on Hand at Close of 8. Reporting Period 95399.99 95399.99 (subtract Line 7 from Line 6(d))..... Debts and Obligations Owed TO the Committee (Itemize all on 0.00 Schedule C and/or Schedule D) ..... 10. Debts and Obligations Owed BY the Committee (Itemize all on 0.00 Schedule C and/or Schedule D) .....

For further information contact:

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

#### **DETAILED SUMMARY PAGE**

of Receipts

FEC Form 3X (Rev. 05/2016)

Write or Type Committee Name

#### Securities Industry and Financial Markets Association Political Action Committee

01 2016 09 30 2016 Report Covering the Period: From: To: **COLUMN A COLUMN B** I. Receipts **Total This Period** Calendar Year-to-Date 11. Contributions (other than loans) From: (a) Individuals/Persons Other Than Political Committees 27611.16 234001.35 (i) Itemized (use Schedule A)..... 3193.06 7904.89 (ii) Unitemized ..... (iii) TOTAL (add 241906.24 30804.22 Lines 11(a)(i) and (ii).....▶ 0.00 0.00 (b) Political Party Committees ..... (c) Other Political Committees 15000.00 88500.00 (such as PACs)..... (d) Total Contributions (add Lines 11(a)(iii), (b), and (c)) (Carry 330406.24 45804.22 Totals to Line 33, page 5) .....▶ 12. Transfers From Affiliated/Other 0.00 0.00 Party Committees..... 0.00 0.00 13. All Loans Received ..... 0.00 0.00 14. Loan Repayments Received..... 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) 415.13 2912.14 (Carry Totals to Line 37, page 5)..... 16. Refunds of Contributions Made to Federal Candidates and Other 0.00 0.00 Political Committees..... 17. Other Federal Receipts 0.00 (Dividends, Interest, etc.)..... 0.00 18. Transfers from Non-Federal and Levin Funds (a) Non-Federal Account 0.00 (from Schedule H3)..... 0.00 0.00 0.00 (b) Levin Funds (from Schedule H5) ....... (c) Total Transfers (add 18(a) and 18(b)).. 0.00 0.00 19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c))....... 333318.38 46219.35 20. Total Federal Receipts 46219.35 333318.38 (subtract Line 18(c) from Line 19) .......▶

#### **DETAILED SUMMARY PAGE**

of Disbursements

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II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date		
Operating Expenditures:     (a) Allocated Federal/Non-Federal     Activity (from Schedule H4)	10101 1110	Calcillati Teat-to-Date		
(i) Federal Share	0.00	0.00		
(ii) Non-Federal Share	0.00	0.00		
(b) Other Federal Operating  Expenditures	415.13	3052.56		
(c) Total Operating Expenditures	445.42	3052.56		
(add 21(a)(i), (a)(ii), and (b))	415.13	3032.30		
Contributions to	0.00	0.00		
Federal Candidates/Committees and Other Political Committees	65000.00	330000.00		
Independent Expenditures (use Schedule E)	0.00	0.00		
(52 U.S.C. § 30116(d)) (use Schedule F)	0.00	0.00		
Loan Repayments Made	0.00	0.00		
	4 4			
Loans Made	0.00	0.00		
Than Political Committees	0.00	0.00		
(b) Political Party Committees	0.00	0.00		
(c) Other Political Committees (such as PACs)	0.00	0.00		
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c))▶	0.00	0.00		
Other Disbursements (Including				
Non-Federal Donations)	0.00	0.00		
Federal Election Activity (52 U.S.C. § 30101(20 (a) Allocated Federal Election Activity (from Schedule H6)	))			
(i) Federal Share	0.00	0.00		
(ii) "Levin" Share	0.00	0.00		
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00		
(c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00		
, ,	0.00	0.00		
Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))	65415.13	333052.56		
Total Federal Disbursements	00413.13	333032.30		
(subtract Line 21(a)(ii) and Line 30(a)(ii)				
from Line 31)	65415.13	333052.56		

#### **DETAILED SUMMARY PAGE**

of Disbursements

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Page 5

( )		3
III. Net Contributions/ Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	45804.22	330406.24
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	45804.22	330406.24
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	415.13	3052.56
37. Offsets to Operating Expenditures (from Line 15, page 3)	415.13	2912.14
38. Net Operating Expenditures (subtract Line 37 from Line 36)	0.00	140.42

Use separate schedule(s)

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Belton, Tracy, M., , CFP Date of Receipt Mailing Address 880 Carillon Pkwy 2016 City Zip Code State Transaction ID: 3CF0F64D378E430B88EE Saint Petersburg FL 33716-1102 Amount of Each Receipt this Period FEC ID number of contributing 1000.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Senior Vice President/Investments Raymond James Financial, Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Bentsen, Kenneth, E., , Jr. Date of Receipt Mailing Address 1101 New York Ave NW 09 2016 8th Floor City State Zip Code Transaction ID: 2016091210174-1000 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 192.35 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Securities Industry and Financial Mark President & CEO Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 3847.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Bentsen, Kenneth, E., , Jr. Date of Receipt Mailing Address 1101 New York Ave NW 28 2016 8th Floor City State Zip Code Transaction ID: 20160926142753-1000 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 192.35 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Securities Industry and Financial Mark President & CEO Receipt For: Aggregate Year-to-Date ▼ Primary General 3847.00 Other (specify) 1384.70 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Use separate schedule(s) for each category of the

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Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Bleier, Lisa, J.,, Date of Receipt Mailing Address 1101 New York Ave NW 8th Floor 2016 City Zip Code State Transaction ID: 2016091210174-1001 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 19.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) MD, Fed Gov't Rel & AGC Securities Industry and Financial Mark Receipt For: Aggregate Year-to-Date ▼ Primary General 384.60 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Bleier, Lisa, J.,, Date of Receipt Mailing Address 1101 New York Ave NW 2016 8th Floor City State Zip Code Transaction ID: 20160926142753-1001 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 19.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Securities Industry and Financial Mark MD, Fed Gov't Rel & AGC Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 384.60 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Blessing, Kalita, Beck, Date of Receipt Mailing Address 880 Carillon Pkwy 2016 City State Zip Code Transaction ID: 1C2D45B320BD4FA29B22 FL Saint Petersburg 33716-1102 Amount of Each Receipt this Period FEC ID number of contributing 1000.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Quest Capital Management** Financial Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) 1038.46 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Receipt For:

Primary

Other (specify)

General

## SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

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Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Brandon, Kyle, L.,, Date of Receipt Mailing Address 120 Broadway 35th Floor 2016 City Zip Code State Transaction ID: 2016091210174-1002 NY New York 10271 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Securities Industry and Financial Mark MD, Dir of Research Receipt For: Aggregate Year-to-Date ▼ Primary General 1923.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Brandon, Kyle, L., , Date of Receipt Mailing Address 120 Broadway 2016 35th Floor City State Zip Code Transaction ID: 20160926142753-1002 NY New York 10271 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Securities Industry and Financial Mark MD, Dir of Research Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1923.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Butler, Christopher, R., , Date of Receipt Mailing Address 880 Carillon Pkwy 30 2016 City State Zip Code Transaction ID: 7BC16FFDA5B24F9FBB8D FL Saint Petersburg 33716-1102 Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Raymond James & Associates, Inc. Senior Vice President, Investment Prod

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Cacmise, William, , , Date of Receipt Mailing Address 35 Coleytown Rd 2016 City Zip Code State Transaction ID: 3816F403B6E7480AAB21 CT Westport 06880-1528 Amount of Each Receipt this Period FEC ID number of contributing C 5000.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Bank of America Merrill Lynch Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 5000.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Chamberlain, Kimberly, H., Date of Receipt Mailing Address 1101 New York Ave NW 2016 8th Floor City State Zip Code Transaction ID: 2016091210174-1003 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 38.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Securities Industry and Financial Mark MD, Associate Gen Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 770.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Chamberlain, Kimberly, H., , Date of Receipt Mailing Address 1101 New York Ave NW 28 2016 8th Floor City State Zip Code Transaction ID: 20160926142753-1003 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 38.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Securities Industry and Financial Mark MD, Associate Gen Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 770.00 Other (specify) 5077.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Crispen, Cheryl, L.,, Date of Receipt Mailing Address 1101 New York Ave NW 8th Floor 2016 City Zip Code State Transaction ID: 2016091210174-1005 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Securities Industry and Financial Mark EVP, Communication & Mktg Receipt For: Aggregate Year-to-Date ▼ Primary General 1923.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Crispen, Cheryl, L., , Date of Receipt Mailing Address 1101 New York Ave NW 2016 8th Floor City State Zip Code Transaction ID: 20160926142753-1005 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Securities Industry and Financial Mark EVP, Communication & Mktg Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1923.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Dunbar, Bruce, , , Date of Receipt Mailing Address 4968 High Meadow Ln 30 2016 City State Zip Code Transaction ID: 5F8A201A351B42EDB8A3 MI Ann Arbor 48103-8839 Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Legacy Financial, Inc President Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 442.30 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Edgell, Bradley, G.,, Date of Receipt Mailing Address 1101 New York Ave NW 8th Floor 2016 City Zip Code State Transaction ID: 2016091210174-1006 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 38.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) MD, Fed Gov't Relations Securities Industry and Financial Mark Receipt For: Aggregate Year-to-Date ▼ Primary General 770.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Edgell, Bradley, G.,, Date of Receipt Mailing Address 1101 New York Ave NW 2016 8th Floor City State Zip Code Transaction ID: 20160926142753-1006 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 38.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Securities Industry and Financial Mark MD, Fed Gov't Relations Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 770.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Eichler, Tracy, H., , Date of Receipt Mailing Address 120 Broadway 14 2016 35th Floor City Zip Code State Transaction ID: 2016091210174-1007 NY New York 10271 Amount of Each Receipt this Period FEC ID number of contributing 43.48 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Securities Industry and Financial Mark MD, Private Client Group Receipt For: Aggregate Year-to-Date ▼ Primary General 796.85 Other (specify) 120.48 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Eichler, Tracy, H.,, Date of Receipt Mailing Address 120 Broadway 35th Floor 2016 City Zip Code State Transaction ID: 20160926142753-1007 NY New York 10271 Amount of Each Receipt this Period FEC ID number of contributing 43.48 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) MD, Private Client Group Securities Industry and Financial Mark Receipt For: Aggregate Year-to-Date ▼ Primary General 796.85 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Elwyn, Tashtego, , , Date of Receipt Mailing Address 880 Carillon Pkwy 2016 City State Zip Code Transaction ID: 1B7DA709D5104133BBBD FL Saint Petersburg 33716-1102 Amount of Each Receipt this Period FEC ID number of contributing 1000.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Raymond James Financial, Inc. President, PCG Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1000.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Enoch, Jillian, N., Date of Receipt Mailing Address 1101 New York Ave NW 28 2016 8th Floor City State Zip Code Transaction ID: 20160926142753-1008 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 12.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Securities Industry and Financial Mark VP, Fed Govt Relations Receipt For: Aggregate Year-to-Date ▼ Primary General 204.00 Other (specify) 1055.48 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE (check only one) **X** 11a 11b 11c

42 13 OF Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Falconi, Jack, W, , Jr. Date of Receipt Mailing Address 2 S 9th St 2016 City Zip Code State Transaction ID: 65842B75330F4890A57E VA Richmond 23219-3920 Amount of Each Receipt this Period FEC ID number of contributing 300.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **BB & T Capital Markets** Senior Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 300.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Flitton, Jennifer, , , Date of Receipt Mailing Address 1101 New York Ave NW 2016 Ste 800 City State Zip Code Transaction ID: 2FD59192A3544F0B90FA DC Washington 20005-4279 Amount of Each Receipt this Period FEC ID number of contributing 1000.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Securities Industry and Financial Mark Managing Director, Federal Governmer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1000.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name C. Fues, Lisa, , , Date of Receipt Mailing Address 1101 New York Ave NW 14 2016 8th Floor City State Zip Code Transaction ID: 2016091210174-1009 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 19.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Securities Industry and Financial Mark MD, CEO's Office Receipt For: Aggregate Year-to-Date ▼ Primary General 384.60 Other (specify) 1319.23 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Fues, Lisa, , , Date of Receipt Mailing Address 1101 New York Ave NW 8th Floor 2016 City Zip Code State Transaction ID: 20160926142753-1009 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 19.23 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) MD, CEO's Office Securities Industry and Financial Mark Receipt For: Aggregate Year-to-Date ▼ Primary General 384.60 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Gibson, Marin, , , Date of Receipt Mailing Address 120 Broadway 2016 35th Floor City State Zip Code Transaction ID: 2016091210174-1010 NY New York 10271 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Securities Industry and Financial Mark MD, Associate Gen Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1923.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Gibson, Marin, , , Date of Receipt Mailing Address 120 Broadway 28 2016 35th Floor City Zip Code State Transaction ID: 20160926142753-1010 NY New York 10271 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Securities Industry and Financial Mark MD, Associate Gen Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 1923.00 Other (specify) 211.53 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Gledhill, Brent, , , Date of Receipt Mailing Address 222 W Adams St 2016 City Zip Code State Transaction ID: 4D35502114B345A2FE3 IL Chicago 60606-5312 Amount of Each Receipt this Period FEC ID number of contributing 850.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Global Head of Investment William Blair Receipt For: Aggregate Year-to-Date ▼ Primary General 850.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Graham, Adair, , , Date of Receipt Mailing Address 2 S 9th St 2016 City State Zip Code Transaction ID: C76AA3E109184CC2A6AF VA Richmond 23219-3920 Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) BB&T Scott and Stringfellow Financial Advisor Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Green, Ellis, A., Date of Receipt Mailing Address 880 Carillon Pkwy 2016 City State Zip Code Transaction ID: D18C9658FAEC4C98BF77 FL Saint Petersburg 33716-1102 Amount of Each Receipt this Period FEC ID number of contributing C 1000.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Raymond James Financial, Inc. Sr. VP Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) 2100.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

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Any information copied from such Reports and Statements may not be sold or used b or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Harrington, Thomas, D., Date of Receipt Mailing Address 880 Carillon Pkwy 2016 City Zip Code State Transaction ID: 8B6022D89E554D479612 Saint Petersburg FL 33716-1102 Amount of Each Receipt this Period FEC ID number of contributing 500.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Regional Director Raymond James Financial, Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Killian, Christopher, B., , Date of Receipt Mailing Address 120 Broadway 2016 35th Floor City State Zip Code Transaction ID: 2016091210174-1012 NY New York 10271 Amount of Each Receipt this Period FEC ID number of contributing 38.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Securities Industry and Financial Mark MD, Head of Securitizaton Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 770.00

Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Killian, Christopher, B., Date of Receipt Mailing Address 120 Broadway 28 2016 35th Floor City Zip Code State Transaction ID: 20160926142753-1012 NY New York 10271 Amount of Each Receipt this Period FEC ID number of contributing 38.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Securities Industry and Financial Mark MD, Head of Securitizaton Receipt For: Aggregate Year-to-Date ▼ Primary General 770.00 Other (specify) 577.00 SUBTOTAL of Receipts This Page (optional).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Krasner, David, B.,, Date of Receipt Mailing Address 120 Broadway 35th Floor 2016 City Zip Code State Transaction ID: 2016091210174-1013 NY New York 10271 Amount of Each Receipt this Period FEC ID number of contributing 192.35 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Chief Fin/Admin Officer Securities Industry and Financial Mark Receipt For: Aggregate Year-to-Date ▼ Primary General 3847.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Krasner, David, B., , Date of Receipt Mailing Address 120 Broadway 2016 35th Floor City State Zip Code Transaction ID: 20160926142753-1013 NY New York 10271 Amount of Each Receipt this Period FEC ID number of contributing 192.35 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Securities Industry and Financial Mark Chief Fin/Admin Officer Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 3847.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Lancia, Nancy, J., , Date of Receipt Mailing Address 120 Broadway 14 2016 35th Floor City Zip Code State Transaction ID: 2016091210174-1014 NY New York 10271 Amount of Each Receipt this Period FEC ID number of contributing 38.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Securities Industry and Financial Mark MD, State Gov Relations Receipt For: Aggregate Year-to-Date ▼ Primary General 770.00 Other (specify) 423.20 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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ITEMIZED RECEIPTS for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lancia, Nancy, J.,, Date of Receipt Mailing Address 120 Broadway 35th Floor 2016 City Zip Code State Transaction ID: 20160926142753-1014 NY New York 10271 Amount of Each Receipt this Period FEC ID number of contributing 38.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) MD, State Gov Relations Securities Industry and Financial Mark Receipt For: Aggregate Year-to-Date ▼ Primary General 770.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Lazo, Theodore, , , Date of Receipt Mailing Address 1101 New York Ave NW 2016 8th Floor City State Zip Code Transaction ID: 2016091210174-1015 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 38.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Securities Industry and Financial Mark MD, Associate Gen Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 770.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Lazo, Theodore, , , Date of Receipt Mailing Address 1101 New York Ave NW 28 2016 8th Floor City State Zip Code Transaction ID: 20160926142753-1015 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 38.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Securities Industry and Financial Mark MD, Associate Gen Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General 770.00 Other (specify)

115.50 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Martin, Laura, A., , Date of Receipt Mailing Address 120 Broadway 35th Floor 2016 City Zip Code State Transaction ID: 2016091210174-1016 NY New York 10271 Amount of Each Receipt this Period FEC ID number of contributing 38.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) MD, AGC AMG Securities Industry and Financial Mark Receipt For: Aggregate Year-to-Date ▼ Primary General 770.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Martin, Laura, A., , Date of Receipt Mailing Address 120 Broadway 2016 35th Floor City State Zip Code Transaction ID: 20160926142753-1016 NY New York 10271 Amount of Each Receipt this Period FEC ID number of contributing 38.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Securities Industry and Financial Mark MD, AGC AMG Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 770.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Moore, John, , , Date of Receipt Mailing Address 222 W Adams St 30 2016 City State Zip Code Transaction ID: DF6A790DCB3580BCC70 IL Chicago 60606-5312 Amount of Each Receipt this Period FEC ID number of contributing 850.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) William Blair Principal Receipt For: Aggregate Year-to-Date ▼ Primary General 850.00 Other (specify) 927.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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42 PAGE 20 OF Use separate schedule(s) for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Morgan, John, , , Date of Receipt Mailing Address 286 Citrus Rd 2016 City Zip Code State Transaction ID: E82BE608D00E4F0DB362 LA River Ridge 70123-2072 Amount of Each Receipt this Period FEC ID number of contributing C 500.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Branch Owner/Principal Raymond James Financial Services Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Norris, Edgar, M., , Jr. Date of Receipt Mailing Address 154 Riverplace 2016 Unit 402 City State Zip Code Transaction ID: 8847E73A0B0A40489877 SC Greenville 29601-2584 Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **BB&T Securities** Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. O'Connor, Patrick, , , Date of Receipt Mailing Address 3205 W Harbor View Ave 30 2016 City State Zip Code Transaction ID: 48D9AA0600CB40738AF4 FL Tampa 33611-1920 Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Raymond James Financial, Inc. Senior Vice President Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 1000.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Ornstein, David, , , Date of Receipt Mailing Address 745 7th Ave 2016 City Zip Code State Transaction ID: 97855A84C3FF45518B9D NY New York 10019-6801 Amount of Each Receipt this Period FEC ID number of contributing 5000.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Barclavs** Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 5000.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Ploshay, Bruce, E., , Date of Receipt Mailing Address 880 Carillon Pkwy 2016 City State Zip Code Transaction ID: 33E4B361F92740B8B4DE FL Saint Petersburg 33716-1102 Amount of Each Receipt this Period FEC ID number of contributing 250.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Raymond James Financial, Inc. Senior Vice President/Investments Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 250.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Price, Thomas, F., , Date of Receipt Mailing Address 120 Broadway 14 2016 35th Floor City Zip Code State Transaction ID: 2016091210174-1018 NY New York 10271 Amount of Each Receipt this Period FEC ID number of contributing 141.75 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Securities Industry and Financial Mark MD, Tech & Operations Receipt For: Aggregate Year-to-Date ▼ Primary General 1149.47 Other (specify) 5391.75 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Price, Thomas, F.,, Date of Receipt Mailing Address 120 Broadway 35th Floor 2016 City Zip Code State Transaction ID: 20160926142753-1018 NY New York 10271 Amount of Each Receipt this Period FEC ID number of contributing 141.75 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) MD, Tech & Operations Securities Industry and Financial Mark Receipt For: Aggregate Year-to-Date ▼ Primary General 1149.47 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Rorke, Alexander, , , Date of Receipt Mailing Address 700 Hinman Ave 2016 City State Zip Code Transaction ID: 7D0DEF279BC8444BB0C8 IL Evanston 60202-2559 Amount of Each Receipt this Period FEC ID number of contributing 1000.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Hilliard Lyons Senior Managing Director, Municipal Se Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1000.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Ross, Jonathan, , , Date of Receipt Mailing Address 150 Joralemon St 26 2016 City State Zip Code Transaction ID: CA11D84A2CBC4BF0B3CE NY Brooklyn 11201-4357 Amount of Each Receipt this Period FEC ID number of contributing 1000.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) KCG Chief Technology Officer Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) 2141.75 SUBTOTAL of Receipts This Page (optional).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Seitz, Michelle, , , Date of Receipt Mailing Address 222 W Adams St 2016 City Zip Code State Transaction ID: 23BA9BE02F8F71480CE IL Chicago 60606-5312 Amount of Each Receipt this Period FEC ID number of contributing 850.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) William Blair Head of Investment Receipt For: Aggregate Year-to-Date ▼ Primary General 850.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Simon, Athur, , , Date of Receipt Mailing Address 222 W Adams St 2016 City State Zip Code Transaction ID: 39A12E022963B2DB37A IL Chicago 60606-5312 Amount of Each Receipt this Period FEC ID number of contributing 850.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) William Blair Partner and General Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 850.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Tait, Joseph, , , Date of Receipt Mailing Address 2024 S 10th Ave 28 2016 City State Zip Code Transaction ID: 0811544115324E22A4DB ID Caldwell 83605-4843 Amount of Each Receipt this Period FEC ID number of contributing 750.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Raymond James Financial Services, Inc. Managing Director Receipt For: Aggregate Year-to-Date ▼ Primary General 750.00 Other (specify) 2450.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Tierney, Thomas, P.,, Date of Receipt Mailing Address 120 Broadway 35th Floor 2016 City Zip Code State Transaction ID: 2016091210174-1020 NY New York 10271 Amount of Each Receipt this Period FEC ID number of contributing 21.74 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Securities Industry and Financial Mark MD, Member Engagement Receipt For: Aggregate Year-to-Date ▼ Primary General 424.76 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Tierney, Thomas, P., , Date of Receipt Mailing Address 120 Broadway 2016 35th Floor City State Zip Code Transaction ID: 20160926142753-1020 NY New York 10271 Amount of Each Receipt this Period FEC ID number of contributing 21.74 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Securities Industry and Financial Mark MD, Member Engagement Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 424.76 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** White, Michael, , , Date of Receipt Mailing Address 251 Rafael Blvd NE 19 2016 City State Zip Code Transaction ID: 3E7315CEA2104B4EB1A7 FL Saint Petersburg 33704-3825 Amount of Each Receipt this Period FEC ID number of contributing 500.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Raymond James Financial, Inc. Director Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 543.48 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Zindel, Jon, , , Date of Receipt Mailing Address 222 W Adams St 2016 City Zip Code State Transaction ID: 973775A1B579131A20F IL Chicago 60606-5312 Amount of Each Receipt this Period FEC ID number of contributing 850.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Chief Financial Officer William Blair Receipt For: Aggregate Year-to-Date ▼ Primary General 850.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 850.00 SUBTOTAL of Receipts This Page (optional)..... 27611.16 TOTAL This Period (last page this line number only).....

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SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 26 OF 42 (check only one)  11a 11b
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NAME OF COMMITTEE (In Full) Securities Industry and Finance	cial Market	s Association Political	Action Committee
Full Name of Individual (Last, First, Middle   A. Bank of America Corporation State an			Date of Receipt
Mailing Address 1100 North King Street, De5-001-02-07			09 20 / Y Y Y Y Y Y
City Wilmington	State DE	Zip Code 19884-0011	Transaction ID: 8EA0978A8D6A429FA0DD  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C co	0043489	5000.00
Name of Employer (for Individual)	Occ	upation (for Individual)	Memo Item
Receipt For:  Primary General  Other (specify) ▼	Aggregate	Year-to-Date ▼ 5000.00	]
Full Name of Individual (Last, First, Middle   Barclays Group US Inc. Political A			Date of Receipt
Mailing Address 2001 K Street NW 11th Floo	or .		09 26 2016
City Washington	State DC	Zip Code 20006	Transaction ID : 4DD3266ADE1E428A9EB9  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C co	0448852	5000.00
Name of Employer (for Individual)	Occ	supation (for Individual)	Memo Item
Receipt For:  Primary General  Other (specify) ▼	Aggregate	Year-to-Date ▼ 5000.00	
Full Name of Individual (Last, First, Middle I C. United Services Automobile Associat	Initial) or Full C ion Employe	Organization Name e PAC - Usaa Employee PA	C Date of Receipt
Mailing Address 9800 Fredericksburg Road			09 21 2016
City San Antonio	State TX	Zip Code 78288	Transaction ID : FC26AD02FEDD4DA3AC79  Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C co	0164145	5000.00
Name of Employer (for Individual)	Occ	upation (for Individual)	Memo Item
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SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS			Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 27 OF 42 (check only one)  11a					
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	NAME OF COMMITTEE (In Full) Securities Industry and Financial	Market	s Association Political	Action Committee					
Α.	Full Name of Individual (Last, First, Middle Initial SIFMA	Date of Receipt							
	Mailing Address 1101 New York Avenue, NW 8th Floor			09 26 2016					
	City	State	Zip Code	Transaction ID : B92F7A49E15848039729					
	Washington	DC	20005	Amount of Each Receipt this Period					
	FEC ID number of contributing federal political committee.	C		415.13					
	Name of Employer (for Individual)	Occ	upation (for Individual)	Memo Item					
	Receipt For:			Reimbursement of Bank Fees					
	Primary General  Other (specify) ▼	Aggregate	Year-to-Date ▼ 2912.14						
_	Full Name of Individual (Last, First, Middle Initia	al) or Full C	Organization Name	Date of Benefit					
B.	Mailing Address			Date of Receipt					
	City State		Zip Code						
				Amount of Each Receipt this Period					
	FEC ID number of contributing federal political committee.	С							
	Name of Employer (for Individual)	Occ	upation (for Individual)	Memo Item					
	Receipt For:  Primary General  Other (specify) ▼	Aggregate	Year-to-Date ▼						
<u> </u>	Full Name of Individual (Last, First, Middle Initia	al) or Full C	rganization Name	Date of Receipt					
	Mailing Address			M = M / D = D / Y = Y = Y					
	City	State	Zip Code	Amount of Each Receipt this Period					
	FEC ID number of contributing federal political committee.								
	Name of Employer (for Individual)	Occ	upation (for Individual)	Memo Item					
	Receipt For: Primary General Other (specify)	Aggregate	Year-to-Date ▼						
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	NAME OF COMMITTEE (In Full)									
	Securities Industry and Financial N	/larkets /	Association	Political	l Ac	tion Co	ommitte	ee		
_	Full Name (Last, First, Middle Initial)					Data	f Diahuma			
Α.	PNC Bank					Date of	f Disburse		YYY	
	Mailing Address 1400 K Street, NW					09		2	2016	
	,	State DC	Zip Code			FEC Id	entificatio	n Number		
	Washington Purpose of Disbursement	DC	20005							
	Bank Fees			001	П	C		ID 0001	555050	
	Candidate Name			Category	//			ID : CC9E Disburser	nent this Period	
	Office Courses			Type			• • •		190.44	
	Office Sought: House Disburse Senate	ment For: Primary	General						190.44	
	President	Other (spe			□ Marria Harri					
	State: District:					IVIE	mo Item			
_	Full Name (Last, First, Middle Initial)					<b>.</b>				
В.	PNC Bank					Date of Disbursement				
	Mailing Address 1400 K Street, NW						09 06 2016			
	City			FEC Identification Number						
	Washington Purpose of Disbursement	DC 20005  O01  Category/ Type  ment For:  Primary General Other (specify)							_	
	Credit Card Fee					Transaction ID : 817A4F1FD3F Amount of Each Disbursement this Period  95.88				
	Candidate Name				//					
	Office Sought: House Disburse									
	Senate									
	President					Memo Item				
_	State: District:									
C.	Full Name (Last, First, Middle Initial) PNC Bank					Date of	f Disburse	ement		
	Mailing Address 1400 K Street, NW					09	/ D	9 / Y	2016	
	City	State	Zip Code	FEC Identification Number						
	Washington	DC	20005				<del>c</del> nuncau0	ii ivuiiiber		
	Purpose of Disbursement Credit Card Fee			O01 C Transaction ID : E24E76F			76FA98			
	Candidate Name			Category Type	//				ment this Period	
	Office Sought: House Disburse	ment For:				7.95				
	Senate	Primary General								
	State: District:	Other (specify) ▼				Memo Item				
	2.0									
s	SUBTOTAL of Disbursements This Page (optional)				<b>•</b>		-		294.27	
L										
1 T	OTAL This Period (last page this line number only	1					_			

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SCHEDULE B (FEC Form 3X)			EOD LINE	NUMBER: PAGE 29 OF 42			
ITEMIZED DISBURSEMENTS		parate schedule(s)	)   FOR LINE (check only	INE NOMBER.			
		category of the Summary Page	<b>X</b> 21b	22 23 26 27			
	Detailed		28a	28b 28c 29 30b			
Any information copied from such Reports and Stat							
or for commercial purposes, other than using the na	ame and ad	dress of any politi	ical committee to	o solicit contributions from such committee.			
NAME OF COMMITTEE (In Full)	Markata	A coopieties	Dolitical Ac	tion Committee			
Securities Industry and Financial	warkets	Association	Political Ac	tion Committee			
Full Name (Last, First, Middle Initial)							
A. PNC Bank				Date of Disbursement			
M. W. A. I.I. A400 I/ Otrocal ABM				09 22 2016			
Mailing Address 1400 K Street, NW	Mailing Address 1400 K Street, NW						
City	State	Zip Code		FEC Identification Number			
Washington	DC	20005					
Purpose of Disbursement Check Printing Fee			001	C			
Candidate Name				Transaction ID : CFF53C15627			
Canadato Namo			Category/ Type	Amount of Each Disbursement this Period			
Office Sought: House Disburs	ement For:		1,750	120.86			
Senate	Primary	General		4 4			
President	Other (sp	ecify) ▼		Memo Item			
State: District:				1			
Full Name (Last, First, Middle Initial) <b>B.</b>				Date of Disbursement			
<b>5</b> .				M M / D D / Y Y Y Y			
Mailing Address				W - W   /   D - D   /   1 - 1 - 1 - 1			
City	FEC Identification Number						
Purpose of Disbursement	C						
·				Amount of Each Disbursement this Period			
Candidate Name			Category/				
			Type				
	ement For:	Camaral					
Senate President	Other (sp	General					
State: District:	Cuioi (op	oony)		Memo Item			
Full Name (Last, First, Middle Initial)							
C.				Date of Disbursement			
				M M / D D / Y Y Y Y			
Mailing Address							
City	State	Zip Code		FEC Identification Number			
Durnoon of Dishursoment							
Purpose of Disbursement				C			
Candidate Name	Candidate Name						
	Category/ Type	Amount of Each Disbursement this Period					
Office Sought: House Disburs	ement For:						
Senate	Primary	General		Memo Item			
President District:	Other (sp	ecify) $\blacktriangledown$					
State: District:							
SUBTOTAL of Disbursements This Page (optional)				120.86			
CODITION DISDUISEMENTS THIS FAGE (Optional)	'			4 4			
TOTAL This Period (last page this line number on	v)			415.13			

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SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE NUMBER: PAGE 30 OF 4					
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	(check only one)       21b     22     22     23     26       28a     28b     28c     29	27 30b				
Any information copied from such Reports and Statem or for commercial purposes, other than using the name	nents may not be sold or used and address of any political	by any person for the purpose of soliciting committee to solicit contributions from such	contributions committee.				
NAME OF COMMITTEE (In Full)							
Securities Industry and Financial M	arkets Association P	olitical Action Committee					
/							
Full Name (Last, First, Middle Initial)		Date of Disbursement					
A. A New Direction PAC			YYY				
Mailing Address 105 N State St		09 15	2016				
City	State Zip Code	FEC Identification Number					
Concord	NH 03301						
Purpose of Disbursement 2016 Contribution		O11 C C00458570					
Candidate Name		Transaction ID: 89F3F					
A New Direction PAC		Category/ Amount of Each Disburseme	ent this Period				
	nent For: 2016	1,950	1000.00				
	Primary General		1 45				
President x	Other (specify) ▼	Memo Item					
State: District:	Contribution	<u> </u>					
Full Name (Last, First, Middle Initial)		Data of Dishamanant					
Beatty for Congress			Date of Disbursement  M M / D D / Y Y Y Y  09 12 2016				
Mailing Address 222 East Town Street Suite 2W							
City	FEC Identification Number						
Columbus							
Purpose of Disbursement 2016 General		011 C C00507368	C C00507368				
Candidate Name	l	Transaction ID : 9A1299	Transaction ID: 9A12993EEDI Amount of Each Disbursement this Period				
Beatty, Joyce, , ,		Category/ Amount of Each Disburseme Type					
	nent For: 2016		1000.00				
	Primary General	4	4				
	Other (specify)	Memo Item	Memo Item				
State: OH District: 03							
Full Name (Last, First, Middle Initial)		Date of Disbursement					
C. Boozman for Arkansas							
Mailing Address PO Box 671		09 12	2016				
City	State Zip Code	CCC Identification Number					
Rogers	AR 72757	FEC Identification Number					
Purpose of Disbursement 2016 General	Purpose of Disbursement						
Candidate Name	Candidate Name						
Boozman, John, Nichols, ,	Category/						
	nent For: 2016		1000.00				
x Senate	Primary General						
	Other (specify) ▼	Memo Item					
State: AR District:							
CURTOTAL of Dishurasments This Dame (a. " )			3000.00				
SUBTOTAL of Disbursements This Page (optional)		············· <b>)</b>	3000.00				
TOTAL This Period (last page this line number only)							

	Use separate schedule	\(\alpha\)	NUMBER: PAGE 31 OF 42							
ITEMIZED DISBURSEMENTS	for each category of th	ie   Cilcolt oni	y one) 22 <b>x</b> 23 26 27							
	Detailed Summary Pag	je 28a	28b 28c 29 30b							
Any information copied from such Reports and Stater										
or for commercial purposes, other than using the nar	ne and address of any po	olitical committee to	o solicit contributions from such committee.							
NAME OF COMMITTEE (In Full)  Securities Industry and Financial M	Markets Associatio	on Political Ad	ction Committee							
Full Name (Last, First, Middle Initial)										
A. Conaway for Congress			Date of Disbursement							
Mailing Address PO Box 51272			09 20 2016							
,	State Zip Code	_	FEC Identification Number							
Midland Purpose of Disbursement	TX 79710-127	2	0 0000000							
2016 General		011	C C00383828							
Candidate Name		Category/	Transaction ID: C43DC75E89  Amount of Each Disbursement this Period							
Conaway, K. Michael, , ,		Type	2500.00							
Office Sought:  House Disburser	ment For: 2016  Primary 🗶 Genera	ıl	2500.00							
President State: TX District: 11	Other (specify)	u	Memo Item							
Full Name (Last, First, Middle Initial)										
B. Crowley for Congress			Date of Disbursement							
Mailing Address 84-56 Grand Avenue	09 21 2016									
,	State Zip Code		FEC Identification Number							
Purpose of Disbursement	Elmhurst NY 11373 Purnose of Dishursement									
2016 General		011	C C00338954  Transaction ID : E217CB93D94							
Candidate Name		Category/	Amount of Each Disbursement this Period							
Crowley, Joseph, , ,  Office Sought:   W House Disburser		Туре	1000.00							
Office Sought: House Disburser Senate	ment For: 2016  Primary 🗶 Genera	ıl	1000.00							
President	Other (specify)		Mama Itam							
State: NY District: 14			Memo Item							
Full Name (Last, First, Middle Initial)			5. (5.)							
C. Devin Nunes Campaign Committed	е		Date of Disbursement							
Mailing Address PO Box 6545			09 12 2016							
,	State Zip Code		FEC Identification Number							
Visalia Purpose of Disbursement	CA 93290-654	5	C C00370056							
2016 General		011	C C00370056  Transaction ID : B16BBA17F1							
Candidate Name	Candidate Name Category/									
Nunes, Devin, G., ,		Type	3000.00							
Office Sought: House Disburser	ment For: 2016  Primary    Genera	ıl	3000.00							
President	Other (specify)		Memo Item							
State: CA District: 22			Memo item							
SUBTOTAL of Disbursements This Page (optional)	SUBTOTAL of Disbursements This Page (optional)									

SCHEDULE B (FEC Form 3X)	Llaa aana	vrote cobodulo(s)	FOR LINE	E NUMBER: PAGE 32 OF 42				
TEMIZED DISBURSEMENTS		arate schedule(s) category of the	(check only	one) 22 <b>x</b> 23 26	27			
	Detailed	Summary Page	28a	28b 28c 29	30b			
Any information copied from such Reports and State	<u> </u>	not be sold or use	d by any perso	on for the purpose of soliciting	contributions			
or for commercial purposes, other than using the na								
NAME OF COMMITTEE (In Full)								
Securities Industry and Financial I	Markets A	Association F	Political Ac	tion Committee				
/ Full Name (Last, First, Middle Initial)								
A. Dold for Congress				Date of Disbursement				
Dold for Congress				M M / D D / Y	YYY			
Mailing Address PO Box 6312				09 20	2016			
011	l a	I =						
City Libertyville	State IL	Zip Code 60048		FEC Identification Number				
Purpose of Disbursement		00010		C C00465971				
2016 General			011	Transaction ID : CF53	F24RR0			
Candidate Name			Category/	Amount of Each Disburser	-			
Dold, Robert, James, , Jr.			Туре		1500.00			
Office Sought:  House Disburse	ement For: 2	2016 General			1300.00			
President	Other (spec	_ · ·		□ M II				
State: IL District: 10		3, 4		Memo Item				
Full Name (Last, First, Middle Initial)								
B. DSCC				Date of Disbursement				
Mailing Addross 400 Mandard Ava NE				09 12	2016			
Mailing Address 120 Maryland Ave NE				09 12	2010			
City	State DC	Zip Code		FEC Identification Number				
Washington Purpose of Disbursement	DC	20002		C C00042366				
2016 Contribution			011	C C00042366  Transaction ID : A295B13B96F Amount of Each Disbursement this Period				
Candidate Name			Category/					
DSCC			Туре					
Office Sought: House Disburse Senate	ement For: 2	2016 General		1 4 1 4	5000.00			
	Other (spec			п				
State: District:	``	Contribution		Memo Item				
Full Name (Last, First, Middle Initial)								
C. Duffy for Congress				Date of Disbursement				
Mailing Address PO Box 538				09 21 Y	2016			
Mailing Address PO Box 536				09 21	2010			
City	State	Zip Code		FEC Identification Number				
Wausau Purpose of Disbursement	WI	54402-0538		C C00464339				
2016 General	16 General 011							
Candidate Name					36FD53 nent this Period			
Duffy, Sean, Patrick, ,		Type						
Office Sought: House Disbursement For: 2016					1000.00			
Senate President	Primary Other (spec	General						
State: WI District: 07	_ Culei (spec	5.11 <b>y</b> ) ▼		Memo Item				
5.								
SUBTOTAL of Disbursements This Page (optional)					7500.00			
TOTAL TI: D : 1//	`							
TOTAL This Period (last page this line number only	y)		·····					

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	Use separate schedule(s)	FOR LINE (check only				
TI LIVIIZED DISBUNSLIVIEN IS	for each category of the Detailed Summary Page	21b 28a	22 <b>X</b> 23 26 27 28b 28c 29 30b			
Any information copied from such Reports and Statem or for commercial purposes, other than using the nam						
NAME OF COMMITTEE (In Full)  Securities Industry and Financial M						
Full Name (Last, First, Middle Initial)						
A. Friends of Dave Joyce	Date of Disbursement					
Mailing Address 320 Kenarden Drive			09 26 2016			
Cleveland	State         Zip Code           OH         44143-3710		FEC Identification Number			
Purpose of Disbursement 2016 General		011	C C00527457			
Candidate Name		Category/	Transaction ID: 0D3CD3E86D  Amount of Each Disbursement this Period			
Joyce, David, Patrick, ,		Type				
Senate	nent For: 2016  Primary		1000.00			
State: OH District: 14	Outor (specify) ▼		Memo Item			
Full Name (Last, First, Middle Initial)						
B. Friends of Dennis Ross			Date of Disbursement			
Mailing Address Post Office Box 7310	Mailing Address Post Office Box 7310					
,						
Lakeland Purpose of Disbursement	FL 33807		FEC Identification Number  C C00459461			
2016 General						
Candidate Name		Category/	Transaction ID: 752C7D0F7F1  Amount of Each Disbursement this Period			
Ross, Dennis, Alan, ,  Office Sought: House Disbursem	nent For: 2016	Туре	1000.00			
	Primary		100000			
State: FL District: 15	Other (specify)		Memo Item			
Full Name (Last, First, Middle Initial)  C. Friends of Erik Paulsen			Date of Disbursement			
Mailing Address PO Box 44369 250 Prairie Center Drive	•					
	State Zip Code		FEC Identification Number			
Eden Prairie Purpose of Disbursement	MN 55344					
2016 General		011	C C00439661  Transaction ID: 3F2B0F0C20			
Candidate Name	Candidate Name Category					
Paulsen, Erik, , ,		Type	Amount of Each Disbursement this Period  1500.00			
<u> </u>	Office Sought:					
State: MN District: 03	Memo Item					
SUBTOTAL of Disbursements This Page (optional)		······	3500.00			
TOTAL This Period (last page this line number only).						

SCHEDULE B (FEC Form 3X)		t l l- (- \	FOR LINE NUMBER: PAGE 34 OF						
LIMITED DISDONSEMENTS   for each category of the   ` —				ck only one) 21b 22 <b>x</b> 23 26 27					
		mmary Page	21b 28a	22 <b>x</b> 28b	23 26 27 28c 29 30b				
Any information copied from such Reports and Statem	L nents may not	he sold or used							
or for commercial purposes, other than using the nam									
NAME OF COMMITTEE (In Full)									
Securities Industry and Financial M	arkets As	sociation P	olitical Act	ion Comr	mittee				
Full Name (Last, First, Middle Initial)									
A. Friends of Jeb Hensarling				Date of Dis					
Mailing Address PO Box 820504				09	12 2016				
City	State Z	Zip Code		FEC Identif	ication Number				
2 4.1.45	TX	75382-0504			loation rambol				
Purpose of Disbursement 2016 General		l r	011	C C00	370650				
Candidate Name					ction ID: 2A44A382FA				
Hensarling, Thomas, Jeb, ,			Category/ Type	Amount of	Each Disbursement this Period				
	nent For: 201	16			5000.00				
	Primary	<b>✗</b> General			, ,				
	Other (specify	<b>'</b> ) ▼		Memo	Item				
State: TX District: 05  Full Name (Last, First, Middle Initial)									
Grassley Committee Inc				Date of Dis	sbursement				
Orassiey Committee inc				M = M / D = D / Y = Y = Y					
Mailing Address PO Box 1000	Mailing Address PO Box 1000								
,	State Z	Zip Code 50304-1000		FEC Identif	ication Number				
Des Moines Purpose of Disbursement		C C00230482							
2016 General	011								
Candidate Name			Category/		ction ID : 26A5C08BE7; Each Disbursement this Period				
Grassley, Charles, E., ,			Туре	1000.00					
	nent For: 201								
	Primary Other (specify	General							
State: IA District:	Other (Speeny	,		Memo	Item				
Full Name (Last, First, Middle Initial)									
Graves for Congress				Date of Dis	sbursement				
				M = M /					
Mailing Address PO Box 335				09	12 2016				
City	State Z	Zip Code		EEC Identif	ication Number				
Calhoun	GA	30703		I LO Idellill	ication Number				
Purpose of Disbursement 2016 General		l r	044	C Coo	)462556				
Candidate Name			011		ection ID : FA716D5985 Each Disbursement this Period				
Graves, John, Thomas, ,	Category/								
	nent For: 201	6			1000.00				
	Primary	<b>✗</b> General			,				
	Other (specify	<b>(</b> ) ▼		Memo	Item				
State: GA District: 14									
SUBTOTAL of Disbursements This Page (optional)									
TOTAL This Period (last page this line number only).									

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	Use separate schedule(s)	FOR LINE I				
	for each category of the Detailed Summary Page	21b 28a	22 <b>X</b> 23 26 27 28c 29 30b			
Any information copied from such Reports and Statem or for commercial purposes, other than using the nam-						
NAME OF COMMITTEE (In Full)  Securities Industry and Financial M						
Full Name (Last, First, Middle Initial)  A. Hellerhighwater PAC			Date of Disbursement			
Mailing Address PO Box 370672			09 26 2016			
Las Vegas	State Zip Code NV 89137		FEC Identification Number			
Purpose of Disbursement 2016 Contribution  Candidate Name		011 Category/	C C00471607  Transaction ID : 0C0CE43EE6  Amount of Each Disbursement this Period			
	nent For: 2016	Type	2500.00			
	Primary ☐ General  Other (specify) ▼  Contribution		Memo Item			
Full Name (Last, First, Middle Initial)  B. Hoyer for Congress			Date of Disbursement			
Mailing Address 700 13th Street NW Suite 600			09 15 2016			
Washington	State Zip Code 20005		FEC Identification Number			
Purpose of Disbursement 2016 General		011	C C00140715 Transaction ID: 60369C742D7			
Candidate Name Hoyer, Steny, Hamilton,	agent Fore con-	Category/ Type	Amount of Each Disbursement this Period			
Senate	nent For: 2016 Primary		1500.00  Memo Item			
State: MD District: 05			Memo Item			
Full Name (Last, First, Middle Initial)  C. Jason Smith for Congress			Date of Disbursement			
Mailing Address PO Box 1324			09 26 2016			
•	State Zip Code MO 63702-1324	011	FEC Identification Number  C C00541862  Transaction ID: 81A64B86B2			
Candidate Name Smith, Jason, Thomas, ,	Candidate Name					
Senate	nent For: 2016 Primary  General Other (specify)		4000.00 Memo Item			
SUBTOTAL of Disbursements This Page (optional)			8000.00			
TOTAL This Period (last page this line number only)			3555.00			

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SCHED	OULE B (FEC Form 3X)			FOR LIN	FOR LINE NUMBER: PAGE 36 OF 42						
ITEMIZ	ED DISBURSEMENTS		rate schedule(s) category of the	(check c	nly one)	y one)					
		Detailed Summary Page		21			26 27 29 30b				
Any inform	mation copied from such Reports and Stater	monte may n	ot be sold or us				28c				
	nmercial purposes, other than using the nar										
NAME	OF COMMITTEE (In Full)										
Secu	urities Industry and Financial N	Markets A	ssociation	Political /	Action (	Comn	nitte	9			
_	ame (Last, First, Middle Initial)				Doto	of Dia	huraan	nont			
A. Kans	A. Kansans for Marshall				Date	of Dis					
Mailing	Address PO Box 1588				0	9 /	07				
City		State	Zip Code		FEC	Identifi	cation	Number			
Great E	Bend Est of Disbursement	KS	67530			-	_				
	Primary Debt Retirement			011		C00	576173	3			
Candid	late Name			Category/	- 1			D: D8B24AC34D Disbursement this Period			
Mars	shall, Roger, W., ,			Type	Aillo	unit on t	Lacii L	ASDUISEMENT UNS PENOU			
	<u> </u>	ment For: 2	016		٦L.			1000.00			
	Senate x	Primary	General			7					
State:	KS District: 01	Other (spec	ify) 🔻			Memo Item					
	ame (Last, First, Middle Initial)										
	sans for Marshall				Date	of Dis	bursen	nent			
	odrio for ividioriali				М	M - M / D - D / Y - Y - Y - Y					
Mailing	Mailing Address PO Box 1588					09 15 2016					
City	· · · · · · · · · · · · · · · · · · ·					FEC Identification Number					
	Great Bend KS 67530 Purpose of Disbursement						C C00576173				
	Primary Debt Retirement	ment For: 2016 Primary General Other (specify)				_					
Candid	ate Name				_	Transaction ID : 3DE7ED587D Amount of Each Disbursement this Period 2500.00					
	shall, Roger, W., ,										
Office	H										
	Senate x President										
State:	KS District: 01	Other (spec	iiy)			Memo Item					
	ame (Last, First, Middle Initial)										
	er for Congress, Inc				Date	of Dis	bursen	nent			
					М		D   [				
Mailing	Address PO Box 1498				0	09 12 2016					
City		State	Zip Code			1-1		Ni. mala a m			
Conco	rd	NH	03302		FEC	identiii	cation	Number			
	e of Disbursement					C00	46286 <sup>-</sup>	1			
	2016 General 011 Candidate Name						Transaction ID : E25DEBDC4				
	ter, Ann, McLane, ,	Category/ Type	Amo	unt of E	Each D	Disbursement this Period					
	Office Sought: X House Disburser		 016	.,,,,	$+$ $\Gamma$	1000.00					
	Senate	Primary	<b>✗</b> General		1 -	4 4					
	President	Other (spec		Memo Item							
State:	NH District: 02										
SUBTOT	AL of Disbursements This Page (optional)			·····				4500.00			
							-				
TOTAL 1	This Period (last page this line number only)	)									

SCHEDULE B (FEC Form 3X)			FOR LINE N	NUMBER:	PAGE 37 OF 42							
TEMIZED DISBURSEMENTS		rate schedule(s) category of the	(check only	´								
		Summary Page	21b 28a	22 <b>x</b> 28b	23 26 27 28c 29 30b							
Any information copied from such Reports and Statem	onte may n	at he sold or use										
or for commercial purposes, other than using the nam												
NAME OF COMMITTEE (In Full)												
$\Big angle$ Securities Industry and Financial M	arkets A	ssociation F	olitical Act	ion Comr	mittee							
Full Name (Last, First, Middle Initial)												
Mailing Address 49 East 92nd St					sbursement							
					12 2016							
City	State	Zip Code		EEC Identif	ication Number							
	NY	10128		rec identii	ication Number							
Purpose of Disbursement 2016 General			011	C C00	273169							
Candidate Name					ction ID : 07DB8BB94C							
Maloney, Carolyn, B., ,			Category/ Type	Amount of	Each Disbursement this Period							
	nent For: 20	 016	.,,,,		1000.00							
Senate	Primary	<b>✗</b> General			7 7							
	Other (speci	ify) ▼		Memo	Item							
State: NY District: 12												
Full Name (Last, First, Middle Initial)  McConnell Senate Committee				Date of Dis	shursement							
- McConnell Senate Continuitee				M M / D D / Y Y Y Y								
Mailing Address PO Box 1496	Mailing Address PO Box 1496											
,	State	Zip Code		FEC Identif	ication Number							
Louisville Purpose of Disbursement	KY	40201		0 000								
2020 Primary  Candidate Name  McConnell, Mitch, , ,  Category/ Type				C C00193342  Transaction ID: E554357241F  Amount of Each Disbursement this Period								
								nent For: 2				2500.00
							Senate President President Other (specify)					
State: KY District:	Other (Speci	iiy)		Memo	Item							
Full Name (Last, First, Middle Initial)												
C. New Democrat Coalition PAC				Date of Disbursement								
Mailian Address 700 404 Oc. 4 NW					D D / Y Y Y Y							
Mailing Address 700 13th Street, NW Suite 600				09	28 2016							
	State	Zip Code		FFC Identif	ication Number							
Washington	DC	20005			0409730							
Void 04-14-2016 Contribution, 2016 Contribution	Purpose of Disbursement Void 04-14-2016 Contribution, 2016 Contribution 011											
Candidate Name Category/				Transaction ID: 581A3F48E1!  Amount of Each Disbursement this Pe								
New Democrat Coalition PAC	Amount of	Lacif Disbursement this Fellod										
	nent For: 20	016			-5000.00							
	Primary	General										
State: District:	Other (speci	ify) ▼ Contribution		Memo	Item							
District.		Continuution										
SUBTOTAL of Disbursements This Page (optional)			······ <b>&gt;</b>		-1500.00							
TOTAL This Period (last page this line number only).												

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S	CHEDULE B (FEC Form 3X)			FOR LIN	E NUMBE	R:	PAGE 38 OF 42	
IT	EMIZED DISBURSEMENTS		rate schedule(s) category of the	(s) check only o				
			Summary Page	211		<b>X</b> 23 28c	26 27 29 30b	
Ar	ny information copied from such Reports and Staten	nents may n	ot be sold or us					
	for commercial purposes, other than using the name							
	NAME OF COMMITTEE (In Full)							
	Securities Industry and Financial M	larkets A	ssociation	Political A	ction C	ommitt	tee	
٨	Full Name (Last, First, Middle Initial)				Data	of Dioburg	nomant	
A.	New Democrat Coalition PAC					Date of Disbursement		
	Mailing Address 700 13th Street, NW Suite 600					09 29 2016		
	,	State DC	Zip Code		FEC	Identification	on Number	
	Purpose of Disbursement	DC	20005			C00400	700	
	2016 Contribution			011	C	C00409		
	Candidate Name			Category/	1 -		n ID : C01436546D8  n Disbursement this Period	
	New Democrat Coalition PAC			Type			5000.00	
		nent For: 20 Primary	016 General			-	5000.00	
		Other (speci			Ιп.			
	State: District:	(-	Contribution			lemo Item		
	Full Name (Last, First, Middle Initial)							
В.	Pat Meehan for Congress			Date	Date of Disbursement			
	Mailing Address 50.0 D. i.l. D.				09 12 2016			
	Mailing Address 50 S Providence Rd					09 12 2010		
	,	State	Zip Code		FEC	Identification	on Number	
	Media PA 19063-3531 Purpose of Disbursement					000400	270	
	2016 General 011				C	C004668		
	Candidate Name	11 3			1	n ID: 1E3B6ADED3  n Disbursement this Period		
	Meehan, Patrick, L., ,			Type				
		nent For: 2			L.	-	1500.00	
	Senate Primary General Other (specify)							
	State: PA District: 07	Curor (opeon			l l	lemo Item		
	Full Name (Last, First, Middle Initial)							
C.	Perlmutter for Congress				Date	Date of Disbursement		
	Mailing Address 3440 Youngfield Street	field Street			09 07 2016			
	#264							
		State	Zip Code		FEC	Identification	on Number	
	Wheat Ridge Purpose of Disbursement	CO 80033			0 000440000		620	
	2016 General 011				C C00410639  Transaction ID : FC8CCA9216			
	Candidate Name Category/				1		n ID: FC8CCA9216  n Disbursement this Period	
	Perlmutter, Edwin, George, , Type							
		ment For: 2016					2000.00	
		Primary Other (speci	✓ General			_		
	State: CO District: 07	23. (Spool	·· <i>31</i> ▼			lemo Item		
Г	<u> </u>							
s	UBTOTAL of Disbursements This Page (optional)			······	L		8500.00	
<b>-</b>	OTAL This Period (last page this line number only)							
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SCHEDULE B (FEC Form 3X)	Llos congreto cobadulo(a)		FOR LINE NUMBER: PAGE 39 OF 42 (check only one)		
TEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	21b 28a	22 <b>X</b> 23 26 27 28b 28c 29 30b		
Any information copied from such Reports and Statem or for commercial purposes, other than using the nam	nents may not be sold or use e and address of any political	ed by any perso al committee to	on for the purpose of soliciting contributions solicit contributions from such committee.		
NAME OF COMMITTEE (In Full)	, , , , , , , , , , , , , , , , , , ,				
Securities Industry and Financial M	arkets Association F	Political Ac	tion Committee		
Full Name (Last, First, Middle Initial)			B . (B)		
Pittenger for Congress LLC			Date of Disbursement  M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y		
Mailing Address PO Box 11207			09 26 2016		
Charlotte	Zip Code NC 28220-1207		FEC Identification Number		
Purpose of Disbursement 2016 General		011	C C00514513  Transaction ID: 7F18262EC5E		
Candidate Name		Category/	Amount of Each Disbursement this Period		
	nent For: 2016 Primary	Туре	2000.00		
	Other (specify)		Memo Item		
Full Name (Last, First, Middle Initial)					
B. Portman for Senate Committee		Date of Disbursement			
Mailing Address 9856 Archer Lane			09 07 2016		
Dublin	State         Zip Code           OH         43017-8914		FEC Identification Number		
Purpose of Disbursement 2016 General		011	C C00458463  Transaction ID: 7DFB3F9BBA		
Candidate Name Portman, Rob, J., ,	Category/ Type	Amount of Each Disbursement this Period			
	nent For: 2016	туре	1000.00		
x Senate	Primary		□ Mana Han		
State: OH District:			Memo Item		
Full Name (Last, First, Middle Initial)  C. Richard E Neal for Congress Comm	nittee		Date of Disbursement		
Mailing Address 76 Magnolia Terrace			09 / 20 / 2016		
,	State Zip Code MA 01108		FEC Identification Number		
Purpose of Disbursement 2016 General		011	C C00226522 Transaction ID : 9F303F16EC		
Candidate Name Neal, Richard, Edmund, ,		Category/ Type	Amount of Each Disbursement this Period		
Office Sought: House Disbursem	nent For: 2016 Primary General	Турс	1000.00		
State: MA District: 01	Other (specify) ▼		Memo Item		
SUBTOTAL of Disbursements This Page (optional)			4000.00		
TOTAL This Period (last page this line number only).					

SCHEDULE B (FEC Form 3X) TEMIZED DISBURSEMENTS	for each	arate schedule(s) category of the Summary Page	FOR LINE (check only 21b 28a		
Any information copied from such Reports and Stater or for commercial purposes, other than using the nar NAME OF COMMITTEE (In Full)			ed by any perso	on for the purpose of soliciting contributions	
Securities Industry and Financial M	/larkets /	Association F	Political Ac	tion Committee	
Full Name (Last, First, Middle Initial)  A. Rodney for Congress  Mailing Address PO Box 344				Date of Disbursement  M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y	
City	State	Zip Code		FEC Identification Number	
Taylorville Purpose of Disbursement 2016 General	IL	62568-0344	011	C C00521948	
Candidate Name  Davis, Rodney, Lee, ,  Office Sought:  House Disburser	Disbursement For: 2016		Category/ Type	Transaction ID: 649C27B0EE: Amount of Each Disbursement this Period	
Senate President State: IL District: 13	Primary Other (spec	<b>✗</b> General		Memo Item	
Full Name (Last, First, Middle Initial)  Roskam for Congress Committee				Date of Disbursement	
Mailing Address PO Box 713	09 26 2016				
City Wheaton Purpose of Disbursement 2016 General	State IL	Zip Code 60187	011	FEC Identification Number  C C00410969  Transaction ID : F3C70F753AL	
Candidate Name  Roskam, Peter, James, ,  Office Sought:   x   House   Disburser	Category/ Type	Transaction ID : E3C70E752AI Amount of Each Disbursement this Period			
Senate President State: IL District: 06	Primary Other (spec	<b>✗</b> General cify)		Memo Item	
Full Name (Last, First, Middle Initial)  C. Rothfus for Congress	, , , ,				
Mailing Address PO Box 435				09 20 2016	
City Sewickley Purpose of Disbursement	State PA	Zip Code 15143		FEC Identification Number	
2016 General  Candidate Name  Rothfus, Keith, James, ,	2016 General  Candidate Name			C C00497115  Transaction ID : 0A474D941B  Amount of Each Disbursement this Period	
Office Sought: House Disburser	ment For: 2 Primary	2016 <b>X</b> General		1000.00	

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	Use separate schedule(s)	FOR LINE N			
	for each category of the Detailed Summary Page	21b 28a	22 <b>X</b> 23 26 27 28b 28c 29 30b		
Any information copied from such Reports and Statem or for commercial purposes, other than using the nam-					
NAME OF COMMITTEE (In Full)  Securities Industry and Financial M	•				
Full Name (Last, First, Middle Initial)  A. Stabenow for US Senate			Date of Disbursement		
Mailing Address PO Box 4945			09 12 2016		
,	State Zip Code MI 48826		FEC Identification Number		
2018 Primary  Candidate Name	[	011	C C00344473 Transaction ID : DC8EDD39F6		
Stabenow, Deborah, , ,	nent For: 2018	Category/ Type	Amount of Each Disbursement this Period		
Senate President	Primary General Other (specify) ▼		Memo Item		
State: MI District:  Full Name (Last, First, Middle Initial)  B. Synergy PAC			Date of Disbursement		
Mailing Address 6849 Old Dominion Drive Suite 222	09 20 / Y Y Y Y Y				
McLean	McLean VA 22101				
Purpose of Disbursement 2016 Contribution  Candidate Name	2016 Contribution 011				
Synergy PAC	Disbursement For: 2016		Amount of Each Disbursement this Period 3500.00		
Senate	Primary General Other (specify)		Memo Item		
State: District:  Full Name (Last, First, Middle Initial)	Contribution				
C. The Richard Burr Committee	Date of Disbursement				
Mailing Address Post Office Box 5928	09 20 2016				
,	f Disbursement				
Candidate Name Burr, Richard, M., ,	Transaction ID : AAFA07E42I Amount of Each Disbursement this Period				
x Senate	nent For: 2016 Primary		1000.00  Memo Item		
SUBTOTAL of Disbursements This Page (optional)			5500.00		
TOTAL This Period (last page this line number only).					

SCHEDULE B (FEC Form 3X)		FOR LINE	NUMBER: PAGE 42 OF 42			
TEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(oricon oriny				
	Detailed Summary Page	21b 28a	22 <b>x</b> 23 26 27 28b 28c 29 30b			
Any information copied from such Reports and Statem	anto movinot ho cold or us					
or for commercial purposes, other than using the nam	ne and address of any politic	cal committee to	solicit contributions from such committee.			
NAME OF COMMITTEE (In Full)						
Securities Industry and Financial M	larkets Association	Political Ac	tion Committee			
Full Name (Last, First, Middle Initial)						
A. Tiberi for Congress	Date of Disbursement					
Mailing Address 2931 E Dublin Granville Road Suite 190	09 20 2016					
,	State Zip Code		FEC Identification Number			
0014111040	OH 43231-2098					
Purpose of Disbursement 2016 General		011	C C00347492			
Candidate Name			Transaction ID: 0368F44EA73			
Tiberi, Patrick, Joseph, ,		Category/ Type	Amount of Each Disbursement this Period			
	nent For: 2016		1500.00			
	Primary <b>x</b> General					
	Other (specify) ▼		Memo Item			
State: OH District: 12  Full Name (Last, First, Middle Initial)						
3. Together Everyone Realizes Real I	ImPACt Aka Tarri P	240	Date of Disbursement			
Together Everyone Realizes Real I	iiii AOt Aka Teiii T	ΑΟ	M M / D D / Y Y Y Y			
Mailing Address 499 S. Capitol Street, SW Suite 422						
,	State Zip Code		FEC Identification Number			
Washington Purpose of Disbursement	DC 20003		C C00525030			
2016 Contribution	2016 Contribution 011					
Candidate Name						
Together Everyone Realizes Real ImPAG		Type	Amount of Each Disbursement this Period			
	nent For: 2016		3000.00			
	Primary General Other (specify)					
State: District:	Contribution		Memo Item			
Full Name (Last, First, Middle Initial)						
C. Tony Cardenas for Congress			Date of Disbursement			
			M M / D D / Y Y Y Y			
Mailing Address 249 E. Ocean Blvd. Suite 685	Mailing Address 249 E. Ocean Blvd. Suite 685					
City	State Zip Code					
Long Beach	CA 90802		FEC Identification Number			
Purpose of Disbursement 2016 General	,	011	C C00498873			
Candidate Name	Transaction ID : 66EE2E81609					
Cardenas, Tony, , ,	Amount of Each Disbursement this Period					
	nent For: 2016	Туре	1000.00			
	Primary General		4 4			
President	Other (specify) ▼		Memo Item			
State: CA District: 29			ш			
SUBTOTAL of Disbursements This Page (optional)			5500.00			
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TOTAL This Period (last page this line number only).			65000.00			